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U.S. PATENT AND TRADEMARK OFFICE

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Re. Appln: **Steven V. Larson**  
Serial No.: **09/517,974**  
Filed: **March 3, 2000**  
For: **DOOR AND FRAME FOR AIR HANDLING UNIT**  
Confirmation No.: **5719**  
Examiner: **Gregory J. Strimbu**  
Art Unit: **3634**  
Attorney: **Gerald E. Helget**  
Attorney Docket No.: **33097.3**  
Additional Fees: **Charge to Deposit Account 023732**

**MAIL STOP NON-FEE AMENDMENT**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**AMENDMENT AND RESPONSE**

In response to the Office Action dated January 26, 2005:

04/27/2005 SMORELAN 00000010 501188 09517974  
01 FC:2201 100.00 DA

**CERTIFICATE OF MAILING**

I hereby certify that this document, along with the documents referenced above, are being deposited with the United States Postal Service as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

By: *Gerald E. Helget*  
Date: *19 APR 05*

Claims 13 and 14 contain additional elements or limitations beyond allowable claim 9 and are also allowable.

The Examiner rejected claims 16, 17, 19 and 20 under 35 U.S.C. § 103(a) as unpatentable over allegedly admitted prior art in view of McDonald, Ryan and Gamow as applied to claims 1-4, 8-11 and 15, and further in view of Colliander and Jansen. Applicant respectfully traverses this rejection as prospectively applied to the amended claims.

Claim 16 is allowable for the reasons given above with respect to claim 1.

Claims 17, 19, and 20 contain additional elements or limitations beyond allowable claim 16 and are also allowable.

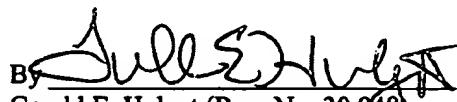
In view of the above remarks, Applicant respectfully requests that the rejection under 35 U.S.C. § 103(a) be withdrawn.

#### CONCLUSION

In view of Applicant's remarks, the claims are believed to be in condition for allowance. Reconsideration, withdrawal of the rejections, and passage of the case to issue is respectfully requested.

Respectfully submitted,

Date: 19 Apr 05

By   
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09/5/17, 974

PTO/SB/17 (12/99)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL

## for FY 2000

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 385.00)

## Complete If Known

Application Number	
Filing Date	March 3, 2000
First Named Inventor	Steven V. Larson
Examiner Name	
Group / Art Unit	
Attorney Docket No.	13661-107

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

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Deposit Account Name **RIDER, BENNETT, EGAN & ARUNDEL**

Charge Any Additional Fee Required  
Under 37 CFR §§ 1.16 and 1.17

2.  Payment Enclosed:

Check  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101 690	201 345	Utility filing fee			<b>345</b>
106 310	206 155	Design filing fee			
107 480	207 240	Plant filing fee			
108 690	208 345	Reissue filing fee			
114 150	214 75	Provisional filing fee			

SUBTOTAL (1) (\$ 345.00)

## 2. EXTRA CLAIM FEES

Total Claims	-20**	=	0	X	Fee from below	Fee Paid
Independent Claims	3	- 3**	=	0	X	
Multiple Dependent						

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20			
102 78	202 39	Independent claims in excess of 3			
104 260	204 130	Multiple dependent claim, if not paid			
109 78	209 39	** Reissue independent claims over original patent			
110 18	210 9	** Reissue claims in excess of 20 and over original patent			

SUBTOTAL (2) (\$ 0.00)

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath			
127 50	227 25	Surcharge - late provisional filing fee or cover sheet			
139 130	139 130	Non-English specification			
147 2,520	147 2,520	For filing a request for reexamination			
112 920*	112 920*	Requesting publication of SIR prior to Examiner action			
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action			
115 110	215 55	Extension for reply within first month			
116 380	216 190	Extension for reply within second month			
117 870	217 435	Extension for reply within third month			
118 1,360	218 680	Extension for reply within fourth month			
128 1,850	228 925	Extension for reply within fifth month			
119 300	219 150	Notice of Appeal			
120 300	220 150	Filing a brief in support of an appeal			
121 260	221 130	Request for oral hearing			
138 1,510	138 1,510	Petition to institute a public use proceeding			
140 110	240 55	Petition to revive - unavoidable			
141 1,210	241 605	Petition to revive - unintentional			
142 1,210	242 605	Utility issue fee (or reissue)			
143 430	243 215	Design issue fee			
144 580	244 290	Plant issue fee			
122 130	122 130	Petitions to the Commissioner			
123 50	123 50	Petitions related to provisional applications			
126 240	126 240	Submission of Information Disclosure Stmt			
581 40	581 40	Recording each patent assignment per property (times number of properties)			<b>40</b>
146 690	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))			
149 690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))			

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

SUBTOTAL (3) (\$ 40.00)

Reduced by Basic Filing Fee Paid

SUBMITTED BY		Complete if applicable		
Name (Print/Type)	Gerald E. Helget	Registration No. (Attorney/Agent)	30,948	Telephone (612) 340-8933
Signature				Date 3/3/2000

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